METHOD AND APPARATUS FOR PROTECTING ~
LEGITIMATE TRAFFIC FROM DoS AND DDoS ATTACKS

Field of the Invention

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The present invention relates to a method and apparatus for protecting legitimate traffic from denial of service (hereinafter, referred to as DoS) and distributed denial of service (hereinafter, referred to as DDoS)

10 attacks; and, more particularly, to a method and apparatus for protecting the legitimate traffic from an enormous traffic volume generated by the DoS and DDoS attacks.

Background of the Invention

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A DoS attack concentrates large volume of traffic on a target network/server in a short time so that the target system is not able to provide services. A DDoS attack, which is one type of DoS attack, concentrates traffic of

20 multitude of attacking sites on the target network/server at once, and therefore, it is more difficult to detect and cut off.

According to attacking method, the DoS attacks are categorized into attacks using characteristics of a TCP

25 protocol and attacks for simply congesting traffic.

Attacks using the characteristics of a TCP protocol are performed as a three-step operation of setting up a connection between a TCP client and a TCP server. First of all, the client sends a synchronous (SYN) packet to the

30 server. Secondly, the server sends a synchronous acknowledge (SYN-ACK) packet to the client. As a final step, the client sends the ACK packet to the server. A TCP SYN flooding attack is an example of such attack, which keeps sending the SYN packet to the server but ignores the SYN-ACK

35 packet transmitted by the server.

Attacks for simply congesting traffic are divided into

a UDP packet flooding attack, a ping flooding attack and a
HTTP flooding attack.

Conventional techniques for cutting off such DoS
attacks are described as follows:

5   (1) a technique for improving an algorithm of a TCP
protocol server

(2) a fair-queuing technique

(3) a rate-limit technique

The technique for improving the algorithm of the TCP

10   protocol server is restrictively used for cutting off
conventional SYN packet flooding attacks, so that it is not
able to avoid traffic congestion attacks.

The fair-queuing technique is used for controlling
congestion and fairly distributing resources (bandwidth) in

15   a router.

Fig. 1 is a drawing for showing a basic algorithm of a
conventional fair-queuing. Each of transmitted packets is
separated on a flow basis and sent to a next node by using a
corresponding queue. In this case, queues are fairly

20   distributed by using a round-robin service, so that each
queue is provided with 1/n of a total link bandwidth. While
the technique is able to effectively cut off DoS attacks,
DDoS attacks are not completely avoidable. That is to say,
the more increase the total number of malicious flows, the

25   more decrease the bandwidth share allocated to legitimate
flows.

The rate-limit technique cuts off not only TCP SYN
flooding attacks but also traffic congestion attacks.

Fig. 2 illustrates a basic algorithm of a conventional

30   rate-limit. The rate-limit technique measures a bandwidth
of specific flows. Then, if the measured value exceeds a
maximum allowable bandwidth determined by an administrator,
surplus packets are dropped. The technique has two
drawbacks. First, the administrator is required to check

35   traffic of a network for a certain time in order to
determine the maximum allowable bandwidth. Second, it is

difficult to effectively cut off DDoS attacks. A power of
the DDoS attacks is due to enormous traffic generated by
concentrating multitude of attacking sites on one target
network/server, and therefore, a volume of traffic generated

5   by each attack site is not considerable. In other words,
since there is only a little difference between volumes of
traffic generated by an attacking site and a legitimate site
in the DDoS attacks, it is very difficult to determine the
maximum allowable bandwidth. For example, if the maximum

10   allowable bandwidth is set low, both DDoS traffic and
legitimate traffic can be cut off.

As described above, the conventional techniques are
effectively used to cut off the DoS attacks but not the DDoS
attacks. Further, even if the DDoS attacks can be cut off,

15   the legitimate traffic cannot be protected.

Summary of the Invention

It is, therefore, an object of the present invention

20   to provide a method and apparatus for protecting legitimate
traffic from DoS and DDoS attacks.

In accordance with the present invention, there is
provided an apparatus connected between a network access
unit and a network to be protected, for protecting

25   legitimate traffic from DoS and DDoS attacks, including: a
high-priority queue; a low-priority queue; a queue
information table having specific STT service queue
information of a specific packet; a queue coordinator for
updating the queue information table based on a load of a

30   provided STT and a load of the high-priority queue; a packet
classifier for receiving a packet from the network access
unit, investigating an STT service queue of the received
packet from the queue information table, selectively
transferring the received packet to the high-priority queue

35   or the low-priority queue in accordance with an
investigation result and providing information on the

received packet to the queue coordinator; and a buffer for buffering outputs of the high-priority queue and the low-priority queue and providing the buffered outputs to the network to be protected.

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Brief Description of the Drawings

The above and other objects and features of the present invention will become apparent from the following
10   description of preferred embodiments, given in conjunction with the accompanying drawings, in which:
Fig. 1 shows a basic algorithm of a conventional fair-queuing;
Fig. 2 illustrates a basic algorithm of a conventional
15   rate-limit;
Fig. 3 provides a drawing for showing a typical DDoS attacks modeling;
Fig. 4 presents a drawing for illustrating a DDoS attacks modeling employing a source-based traffic trunk
20   (STT) in accordance with the present invention;
Fig. 5 represents a block diagram for showing a preferred embodiment of an apparatus for protecting legitimate traffic from DoS and DDoS attacks in accordance with the present invention;
25   Fig. 6 offers a flowchart for illustrating a basic algorithm of a packet classifier shown in Fig. 5;
Fig. 7 sets forth a flowchart for showing a basic algorithm of a queue coordinator shown in Fig. 5;
Fig. 8A depicts a flowchart of a detailed algorithm of
30   steps for receiving packet information and calculating an average load of an STT corresponding to a received packet in the basic algorithm of the queue coordinator shown in Fig. 7;
Fig. 8B shows a flowchart of an algorithm of a step
35   for resetting an STT service queue based on the load of the STT in the basic algorithm of the queue coordinator

illustrated in Fig. 7;

Fig. 8C provides a flowchart of an algorithm of a step for calculating an average load of a high-priority queue in the basic algorithm of the queue coordinator illustrated in Fig. 7;

Fig. 8D provides a flowchart of an algorithm of a step for resetting an STT service queue based on the load of the high priority queue in the basic algorithm of the queue coordinator shown in Fig. 7;

Fig. 9A presents a drawing for representing a simulation result of employing the conventional fair-queuing against web server attacks using DoS and DDoS; and

Fig. 9B represents a drawing for showing a simulation result of employing a traffic control technique in accordance with the present invention against web server attacks using the DoS and DDoS.

Detailed Description of the Preferred Embodiments

Hereinafter, preferred embodiments of the present invention will be described in detail with reference to the accompanying drawings.

A flow-unit-processing of DoS and DDoS traffic causes a performance and attack-detection accuracy to be deteriorated and its load to be increased. On the contrary, the present invention processes the DoS and DDoS traffic in a source-based traffic trunk (hereinafter, referred to as STT) unit, wherein the STT refers to a set of flows having a same network address of a source. For instance, if the STT is composed of 24-bit out of 32-bit IP address, every packet using source addresses from 168.188.44.0 to 168.188.44.255 belongs to the STT having a source address of 168.188.44.

Fig. 3 provides a drawing for showing a typical DDoS attacks modeling. Fig. 4 presents a drawing for illustrating a DDoS attacks modeling employing a source-based traffic trunk (STT) in accordance with the present

invention.

Sources of DDoS attacks are not uniformly distributed in entire networks, but centralized on certain local networks. Thus, it is impossible for a hacker to perform a hacking on systems in every network in the world to install DDoS attack software therein. Instead, a hacker usually intrudes a certain local network. Further, for example, even if the hacker intrudes an arbitrary system using a Nimda virus and performs DDoS attacks, it is still difficult for the virus to hide in a safe network, i.e., a network installed with a firewall, an intrusion detection system, a virus vaccine application and the like, for a certain time. Accordingly, the virus is normally hidden in a less protected network. A cyber demonstration, which is a type of DDoS attacks, is also performed in certain local networks.

In comparison with a flow-typed method, the STT-typed method is able to more simply and accurately determine whether traffic is legitimate or not.

Fig. 5 represents a block diagram for showing a preferred embodiment of an apparatus for protecting legitimate traffic from DoS and DDoS attacks in accordance with the present invention. A legitimate traffic protection unit 501 comprises a queue information table 502, a queue coordinator 503, a packet classifier 504, a high-priority queue 505, a low-priority queue 506 and a buffer 507, wherein the legitimate traffic protection unit 501 is connected between a network access unit 508 and a network/server 509 to be protected.

When a packet is received from the network access unit 508, the packet classifier 504 investigates an STT service queue of the packet from the queue information table 502. According to the investigation result, the packet is transferred to the high-priority queue 505 or the low-priority queue 506. Further, information on the packet is transferred to the queue coordinator 503, wherein the information on the packet refers to a packet size, a packet

arrival time and an index of the queue information table 502 for representing STT information of the packet and the like.

The queue coordinator 503 updates the queue information table 502 based on a load of the received STT and a load of the high-priority queue 505. The queue information table 502 has fields including an STT ID, a service queue, an average load, a recent load calculation time and a total packet size.

A maximum load of both the high-priority queue 505 and the low-priority queue 506 is set to be a maximum allowable load of the network/server 509 to be protected. For example, in case the maximum allowable load of to-be-protected system is set to 100, a sum of total loads of both the high-priority queue 505 and the low-priority queue 506 should be set to 100. If both the high-priority queue 505 and the low-priority queue 506 have packets, a packet in the high-priority queue 505 is firstly served.

The buffer 507 buffers outputs of the high-priority queue 505 and the low-priority queue 506 and sends the buffered outputs to the network/server 509 to be protected.

Fig. 6 offers a flowchart for illustrating a basic algorithm of the packet classifier 504 shown in Fig. 5.

The packet classifier 504 receives a packet from the network access unit 508 (step 601) and then obtains an STT ID by using a source IP address of the received packet (step 602). Next, the packet classifier 504 searches for a service queue corresponding to the obtained STT ID from the queue information table 502 (step 603). According to the investigation result, the packet classifier 504 selectively transfers the received packet to the high-priority queue 505 and the low-priority queue 506 (steps 604 to 606). Thereafter, the packet classifier 504 transfers packet information to the queue coordinator 503.

Fig. 7 sets forth a flowchart for showing a basic algorithm of a queue coordinator shown in Fig. 5.

The queue coordinator 503 receives packet information

from the packet classifier 504 (step 702) and calculates an average STT load corresponding to the received packet (step 703). Based on the calculated average STT load, the queue coordinator 503 resets an STT service queue (step 704).
Next, the queue coordinator 503 calculates an average load of the high-priority queue 505 (step 705) and then resets a certain STT service queue based on the calculated average load of the high-priority queue 505 (step 706). Thereafter, the queue coordinator 503 stores modified STT information such as a modified average load and service queue in the queue information table 502 (step 707).

Fig. 8A depicts a flowchart of a detailed algorithm of steps for receiving packet information (step 702) and calculating an average load of an STT corresponding to a received packet (step 703) in the basic algorithm of the queue coordinator 503 shown in Fig. 7.

The queue coordinator 503 receives packet information on a packet size, a packet arrival time, a queue information table index, a corresponding STT and the like from the packet classifier (step 802) and then calculates a total packet size based on the received packet information (step 803), wherein the total packet size is a sum of a previous total packet size and a received packet size. Next, the queue coordinator 503 checks whether it is time to recalculate an average load (step 804). According to the check result, if it is time to recalculate the average load, the queue coordinator 503 calculates a new average load by using a previous average load and a current average load based on the total packet size (step 805). In other words, the average load is calculated as follows: average load = (previous average load * α + total packet size)/((packet arrival time − recent load calculation time) * (1−α)), wherein α is larger than 0 but smaller than 1, i.e., $0 < \alpha < 1$. In this case, a time cycle for calculating the load is predetermined by a user. According to the check result of the step 804, if it is not the time to recalculate the

average load, the queue coordinator 503 executes an STT
service queue determination algorithm using the STT load
value (step 806) without performing the step 805.

Fig. 8B shows a flowchart of an algorithm of a step
5   for resetting an STT service queue based on the load of the
STT (step 704) in the basic algorithm of the queue
coordinator 503 illustrated in Fig. 7. The algorithm in Fig.
8b is carried out whenever a packet is arrived. A purpose
of the algorithm is to make an STT of a high average load
10   use the low-priority queue 506 and an STT of a low average
load use the high-priority queue 505. Accordingly, DoS and
DDoS traffic are supposed to use the low-priority queue.

The queue coordinator 503 checks whether or not the
high-priority queue 505 is in a congested state (step 808).
15   According to the check result, if the high-priority queue
505 is in a congested state, it is checked whether an STT
load of a received packet is greater than an allowable load
(step 809). According to the check result of the step 809,
if the STT load of the received packet is greater than the
20   allowable load, a service queue of the STT of the received
packet is set to be the low-priority queue 506 (step 810),
wherein the allowable load refers to "(an average load of
the high-priority queue 505) / (the number of STT using the
high-priority queue 505 during a recalculation of the
25   average load)". Thus, a plurality of STT that may correspond
to DDoS traffic is supposed to concentrate on the low-
priority queue 506 rapidly. The queue coordinator 503
checks whether the service queue of the STT corresponding to
the received packet is a high-priority queue or a low-
30   priority queue (step 811). According to the check result of
the step 811, if the service queue of the STT corresponding
to the received packet is a high-priority queue, an STT
using a low-priority queue is randomly chosen from the queue
information table 502 (step 812). Next, the queue
35   coordinator 503 compares an average load of the STT
corresponding to the received packet with an average load of

the randomly chosen STT (step 813). According to the comparison result, if the average load of the STT corresponding to the received packet is greater than that of the randomly chosen STT, a queue of an STT having a low load

5· is set to high-priority and that of an STT having a high load is set to low-priority (step 814). According to the check result of the step 811, if the service queue of the STT corresponding to the received packet is a low-priority queue, an STT using a high-priority queue is randomly chosen

10 from the queue information table 502 (step 815). The queue coordinator compares an average load of the STT corresponding to the received packet with that of the randomly chosen STT (step 816). According to the comparison result, if the average load of the STT corresponding to the

15 received packet is smaller than that that of·the randomly chosen STT, a queue of an STT having a low load is set to high-priority and that of an STT having a high load is set to low-priority (step 817). Accordingly, legitimate traffic and the DDoS traffic are respectively supposed to use a

20 high-priority queue and a low-priority queue.

Fig. 8C provides a flowchart of an algorithm of a step for calculating an average load of the high-priority queue 505 (step 705) in the basic algorithm of the queue coordinator 503 illustrated in Fig. 7. Such algorithm is

25 only carried out when a service queue of a received packet is a high-priority queue.

The queue coordinator 503 determines an STT service queue on the basis of an STT load (step 819) and then checks whether the service queue used by the received packet is a

30 high-priority queue or a low-priority queue (step 820). As a result of the step 820, if the service queue used by the received packet is a high-priority queue, a total size of packets served through the high-priority queue is calculated (step 821). Next, the queue coordinator 503 checks whether

35 it is time to recalculate a load (step 822). According to the check result, if it is time to recalculate the load, an

average load of the high-priority queue is calculated (step 823). Then, the queue coordinator 503 resets a certain STT service queue on the basis of the load of the high-priority queue (step 824), to thereby store modified STT information
5   in the queue information table 502 (step 825).

Fig. 8D provides a flowchart of an algorithm of a step for resetting an STT service queue based on the load of the high priority queue (step 706) in the basic algorithm of the queue coordinator 503 shown in Fig. 7, wherein the algorithm
10   is executed whenever the average load of the high-priority queue is calculated.

The queue coordinator 503 calculates the average load of the high-priority queue (step 826) and checks a load state of the high-priority queue, e.g., a congested state,
15   an idle state or a stable state (step 827). If the load of the high-priority queue is in the congested state, an STT using the high-priority queue is randomly chosen and a queue of the STT is set to low-priority (steps 828 and 829). Meanwhile, if the load thereof is in the idle state, an STT
20   using a low-priority queue is randomly chosen and a queue of the STT is set to high-priority (steps 830 and 831). If the load thereof is in the stable state or the steps 829 to 831 have already been performed, modified STT information is stored in the queue information table 502 (step 832). As a
25   result, the high-priority queue is able to maintain a stable load thereof. Further, STT using the high-priority queue, i.e., legitimate traffic, can be of high quality.

Fig. 9A presents a drawing for representing a simulation result of employing the conventional fair-queuing
30   against web server attacks using DoS and DDoS. Fig. 9B represents a drawing for showing a simulation result of employing a traffic control technique in accordance with the present invention against web server attacks using the DoS and DDoS.

35   In prior arts, legitimate traffic is influenced by both the DoS and DDoS attacks as shown in Fig. 9A. However,

both the DoS and DDoS attacks hardly have influence on the legitimate traffic in the present invention as illustrated in Fig. 9B.

The present invention checks traffic on an STT basis instead of on a flow basis, so that a load can be more accurately measured without influencing on a performance of an apparatus. Whenever a packet of an STT is received, it is checked whether the specific STT is DDoS traffic or legitimate traffic. Accordingly, the DDoS traffic is quickly set to a low-priority queue as shown in 8b. Although traffic is dramatically increased due to the DDoS attacks, a load of a high-priority queue used by legitimate traffic is constantly maintained. As a result, a loss of the legitimate traffic can be minimized as illustrated in Fig. 8b to 8d. The present invention has an additional merit that even when a considerable traffic is generated by a specific system, if there is sufficient network resource to accept it, the traffic can be served through high-priority queue.

While the invention has been shown and described with respect to the preferred embodiments, it will be understood by those skilled in the art that various changes and modifications may be made without departing from the spirit and scope of the invention as defined in the following claims.